SEC Form 4	
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## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION 20540

6. Ownership

Form: Direct (D) or Indirect

D

(I) (Instr. 4)

7. Nature

of Indirect Beneficial

Ownership

(Instr. 4)

			Washington, D.C. 20049	OMB	OMB APPROVAL			
to Section 7	box if no longer subje 16. Form 4 or Form 5 may continue. See 1(b).	et STATEN	Filed pursuant to Section 16(a) of the Securities Exchange Act of 19 or Section 30(h) of the Investment Company Act of 1940	_	OMB Number: 3235-0 Estimated average burden hours per response:			
1. Name and A <u>Phung Tri</u>	ddress of Reporting <u>nh</u>	Person*	2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>Shockwave Medical, Inc.</u> [SWAV]	5. Relationship o (Check all applica Director	able)	10% Owner		
(Last) C/O SHOCH	(First)	(Middle) AL, INC.	3. Date of Earliest Transaction (Month/Day/Year) 02/01/2024	X Officer ( below)	ve title Other (specif below) VP, Finance			
5403 BETSY ROSS DRIVE			4. If Amendment, Date of Original Filed (Month/Day/Year)	Line)	idual or Joint/Group Filing (Check Applicable			
(Street) SANTA CLARA	СА	95054			ed by One Repo ed by More thar	orting Person n One Reporting		
			Rule 10b5-1(c) Transaction Indication					
(City)	(State)	(Zip)	Check this boy to indicate that a transaction was made pursuar	t to a contract instruct	ion or written plar	that is intended to		

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

2A. Deemed

Execution Date, if any

(Month/Day/Year)

3. Transaction Code (Instr. 8)

Code v

Α

	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned   (e.g., puts, calls, warrants, options, convertible securities)															
1. Title of Derivative Security (Instr. 3)		2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)				Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
					Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Title of Security (Instr. 3)

Common Stock

Remarks:

## /s/ Wade Estey, as attorney-in-02/02/2024

fact for Trinh Phung

\*\* Signature of Reporting Person Date

Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.

5. Amount of Securities Beneficially

Owned Following

35,748

Reported Transaction(s)

(Instr. 3 and 4)

4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)

(A) or (D)

A

Amount

6,711

Price

\$<mark>0</mark>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

2. Transaction

(Month/Day/Year)

02/01/2024

Date

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.