SEC Form 4	
FORM	4

Common Stock

Common Stock

Common Stock

Common Stock

Common Stock

Common Stock

Conversion

or Exercise

Price of Derivative

Security

1. Title of Derivative Security (Instr. 3)

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

OMB APPROVAL	
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> FCPR⁽¹⁾ By Sofinnova

Capital VII FCPR⁽¹⁾

By Sofinnova

Capital

Capital VII FCPR⁽¹⁾

Capital

Capital

11. Nature

of Indirect Beneficial

Ownership (Instr. 4)

VII FCPR⁽¹⁾

By Sofinnova

VII FCPR⁽¹⁾ By Sofinnova

VII FCPR⁽¹⁾ By Sofinnova

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D

10.

Ownership Form:

Direct (D) or Indirect (I) (Instr. 4)

				or Section 30(n) of the	Investm	ient C	ompany Act o	of 1940					
1. Name and Add Papiernik A	dress of Reporting P Antoine	Person*		2. Issuer Name and T Shockwave Me			0 ,]			10%	o Issuer Owner	
(Last) (First) (Middle) C/O SHOCKWAVE MEDICAL, INC. 5403 BETSY ROSS DRIVE				3. Date of Earliest Tra 09/14/2020	ansactio	n (Moi	nth/Day/Year)		Officer (give title below)	e Othe belov	er (specify w)		
(Street) SANTA CLARA (City)	CA (State)	95054 (Zip)		4. If Amendment, Date of Original Filed (Month/Day/Year)) 6. Inc Line) X	- ,			
	7	Table I - N	Ion-Derivat	ive Securities A	cquire	ed, D	isposed o	of, or E	Beneficial	y Owned			
Date			2. Transaction Date (Month/Day/Ye	ar) 2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)					5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
					Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(
Common Stoc	k		09/14/2020)	s		10,333	D	\$67.11 ⁽²⁾	1,683,873	I	By Sofinnova Capital VII	

S

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

5. Number

Derivative

Securities Acquired (A) or Disposed of (D) (Instr. 3, 4

and 5)

Transaction Code (Instr.

8)

6. Date Exercisable and Expiration Date (Month/Day/Year)

49,667

18,528

11,472

27,868

2,132

D

D

D

D

D

7. Title and Amount of Securities

Underlying Derivative

Security (Instr. 3 and 4)

\$67.76⁽³⁾

\$69.06(4)

\$69.89⁽⁵⁾

\$71.54(6)

\$72

1,634,206

1,615,678

1,604,206

1,576,338

1,574,206

2,701

9. Number of derivative Securities

Beneficially Owned

Following Reported Transaction(s) (Instr. 4)

8. Price of Derivative

Security (Instr. 5)

09/14/2020

09/14/2020

09/15/2020

09/15/2020

09/15/2020

3A. Deemed Execution Date,

if any (Month/Day/Year)

3. Transaction

Date (Month/Day/Year)

		Tal	ble II - Derivat (e.g., pu					ired, Disp options, o	onvertib	e se	lor		d		
	2. Conversion		3A. Deemed Execution Date,	C .ode Transa		6ΑNι of	.m(D)r	ExDate		Titlet	of leSalnaires untof	8. Price of Derivative	9. Number of derivative	10. Ownership	11. Nature of Indirect
(Instr. 3) 1. Represent	s shares directly	(Stonth/Day/Year) y held by Sofinnova (R. The reporting pers			ing pers		vative prities uired ported	(Month/Day/ the managing pase securities except	artners of Sof	Secu Unde Innova Deriv	Partiners SA	Security S, a French conterest.	Securities Beneficially orboration and the Owned Following	Form: Direct (D) Management or Indirect (I) (Instr. 4)	Beneficial Ownership company of (Instr. 4)
 The price provide to the within such and The price 	reported in Col ne issuer, any se range. reported in Col	umn 4 is a weighted a curity holder of the is umn 4 is a weighted a curity holder of the is	average price. The rep suer or the staff of the average price. The rep	ported securi	ecurities ities and ecurities	s Disp 1 Pf (P (Inst - and s were	osed in ange C r. 3, 4 5) sold in	multiple transa ommission, upo multiple transa	actions at pric on request, fui actions at pric	es 3ang ll inforr es rangi	he from \$6 nation regar	6.39 to \$67.38 ding the num 7.41 to \$68.3	8. Reported ting p be Transaction(s) (Instr. 4) 1. The reporting p	erson undertak at each separa erson undertak	tes to te price
	reported in Col ne issuer, any se	umn 4 is a weighted a curity holder of the is									nation regai	ding the num			
		umn 4 is a weighted a curity holder of the is										9.27 to \$70.00			

Date Expiration of

 Date
 Expiration
 of

 6. The price reported in Column 4 is a weighted average price. The reported securities were sold in multiple transactions at prices ranging from \$71.00 to \$71.99. The reporting person undertakes to provide to the issuer, any security holder of the issuer or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within such range.

Remarks:

All transactions reported here were effected pursuant to a Rule 10b5-1 Plan adopted by Sofinnova Capital VII FCPR.

/s/ Trinh Phung, as attorneyin-fact for Antoine Papiernik

09/15/2020

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.