SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

Ownership

(I) (Instr. 4)

		01 360		vesiment con	ipany Act of 1940						
1. Name and Address of Reporting WATKINS FRANK T	a Address of Reporting Person		er Name and Ticker kWave Medic				lationship of Reporting Person(s) to Is ck all applicable) Director 10% (ssuer Owner		
(Last) (First) 5403 BETSY ROSS DRIVE	(Middle)	3. Date 03/06/	of Earliest Transac 2019	ction (Month/D	ay/Year)		Officer (give title below)	Other below	(specify)		
,		4. If Am	endment, Date of (Original Filed	(Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable					
(Street) SANTA CLARA CA 95054						Line) X	Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City) (State)	(Zip)						1 013011				
	Table I - Nor	n-Derivative S	ecurities Acqu	uired, Disp	oosed of, or Benefi	cially	Owned				
Date		2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership		

Reported (Instr. 4) (A) or Transaction(s) Price Code v Amount ÌD) (Instr. 3 and 4) Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 8. Price of 1. Title of Derivative 3. Transaction 3A. Deemed 5. Number 6. Date Exercisable and 7. Title and Amount 9. Number of 10. 11. Nature 4 of Securities Underlying Derivative Security Conversion Date Execution Date, Trans Expiration Date Derivative derivative Ownership of Indirect actior (Month/Day/Year) Derivative (Month/Day/Year) Security (Instr. 3) Code (Instr. 8) Security (Instr. 5) or Exercise Price of if any Securities Form: Beneficial Direct (D) (Month/Day/Year) Securities Beneficially Ownership Derivative Acquired (A) or (Instr. 3 and 4) Owned Following or Indirect (Instr. 4) Security (i) (instr. 4)

					Disposed of (D) (Instr. 3, 4 and 5)							Reported Transaction(s) (Instr. 4)		
			Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Stock Options (Right to Buy)	\$17	03/06/2019	A		17,381		(1)	03/06/2029	Common Stock	17,381	\$0	17,381	D	

Explanation of Responses:

1. 1/3rd of the options shall vest each year beginning on March 11, 2020 until 100% of the options have vested.

/s/ Trinh Phung, as attorney-infact for F.T. Jay Watkins

03/08/2019

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.