FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington,	D.C.	20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

	OMB APPROVAL								
	OMB Number:	3235-0287							
l	Estimated average burden								
l	hours per response:	0.5							

F. Dolotionship of Deporting Person(s) to Jacuer

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

2 Jacuar Nama and Tieker or Trading Cumbel

1. Name and Address of Reporting Person PUCKETT DAN						Shockwave Medical, Inc. [SWAV] Shockwave Medical, Inc. [SWAV] Officer (give title Other (specify													
(Last) (First) (Middle) C/O SHOCKWAVE MEDICAL, INC. 5403 BETSY ROSS DRIVE							3. Date of Earliest Transaction (Month/Day/Year) 02/01/2021								below) below) Chief Financial Officer				
(Street) SANTA (City)	CLARA C.	A tate)	_ 4. I	4. If Amendment, Date of Original Filed (Month/Day/Year)								Indivine)	ividual or Joint/Group Filing (Check Applicable Form filed by One Reporting Person Form filed by More than One Reporting Person						
Table I - Non-Deriv 1. Title of Security (Instr. 3) 2. Transact Date (Month/Day				ction	ion 2A. Deemed Execution Date,		3. Transaction Code (Instr. 8) 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 2)				d (A) or	5. Amount of Securities Beneficially Owned Following			Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership		
				Code			v	Amount	(A) or (D)	Price		Reported Transaction(s) (Instr. 3 and 4)				(Instr. 4)			
Common	mmon Stock 02/01/				2021)21		M ⁽¹⁾		341	A \$3.		.6	6 37,649		D			
Common	Stock			02/01/	2021				M ⁽¹⁾		1,659	A	\$17		39	,308			
Common	Stock			02/01/	2021				S ⁽¹⁾		611	D	\$116.9	1(2)	38,697 D				
Common	Stock			02/01/	2021				S ⁽¹⁾		1,288	D	\$117.9	7(3)	37,409 D				
Common	Stock			02/01/	2021				S ⁽¹⁾		101	D	\$118.6	6(4)	37,308 D				
		T	able II						•	,	posed of converti	,		•	wned				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	if any	emed on Date, (Day/Year)	4. Transa Code (8)		of Der Sec Acc (A) Dis of (posed D) str. 3, 4	6. Date Expirat (Month	ion Da		7. Title ar Amount of Securitie Underlyir Derivativ (Instr. 3 a	of s ng e Security	De Se	Derivative Security Instr. 5)	9. Number derivative Securities Beneficially Owned Following Reported Transactio (Instr. 4)	i Ily	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
			Code	Code V (A		(D)	Date Exercisable		Expiration Date	Title	Amount or Number of Shares								

Explanation of Responses:

\$3.416

Employee Stock

Option

(Right to Buy) Employee Stock Option

(Right to Buy)

1. All transactions reported here were effected pursuant to a Rule 10b5-1 Plan adopted by the reporting person on 8/8/2019.

 $M^{(1)}$

 $M^{(1)}$

341

1,659

2. The price reported in Column 4 is a weighted average price. The reported securities were sold in multiple transactions at prices ranging from \$116.44 to \$117.39. The reporting person undertakes to provide to the issuer, any security holder of the issuer or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within such range

(5)

(6)

- 3. The price reported in Column 4 is a weighted average price. The reported securities were sold in multiple transactions at prices ranging from \$117.46 to \$118.37. The reporting person undertakes to provide to the issuer, any security holder of the issuer or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within such range
- 4. The price reported in Column 4 is a weighted average price. The reported securities were sold in multiple transactions at prices ranging from \$118.59 to \$118.66. The reporting person undertakes to provide to the issuer, any security holder of the issuer or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within such range
- 5. 1/48th of the shares subject to the option shall vest monthly from 7/21/17.

02/01/2021

02/01/2021

6. 1/48th of the shares subject to the option shall vest monthly from 3/11/19.

/s/ Wade Estey, as attorney-infact for Dan Puckett

341

1,659

\$<mark>0</mark>

\$0

2,023

46,682

D

D

02/02/2021

** Signature of Reporting Person

Stock

Stock

08/03/2027

03/06/2029

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.