FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

| OMB APPROVAL             |           |  |  |  |  |  |  |  |  |
|--------------------------|-----------|--|--|--|--|--|--|--|--|
| OMB Number:              | 3235-0287 |  |  |  |  |  |  |  |  |
| Estimated average burden |           |  |  |  |  |  |  |  |  |
| hours per response:      | 0.5       |  |  |  |  |  |  |  |  |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| Name and Address of Reporting Person*     Zacharias Isaac          |  |       |       |                    |   | 2. Issuer Name and Ticker or Trading Symbol Shockwave Medical, Inc. [ SWAV ]   |  |     |                  |        |                    |   |  | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  Director 10% Owner   |  |  |   |  |   |  |
|--|--|-------|-------|--------------------|---|--|--|-----|------------------|--------|--------------------|---|--|---|--|--|---|--|---|--|
| (Last) (First) (Middle) C/O SHOCKWAVE MEDICAL, INC.                |  |       |       |                    | 3. Date of Earliest Transaction (Month/Day/Year) 07/26/2023 |  |  |     |                  |        |                    |   |  | X   |  | Officer (give title below)  Presider   |   | Other (sbelow)   | specify   |  |
| 5403 BETSY ROSS DRIVE  (Street)                                    |  |       |       |                    | 4. If Amendment, Date of Original Filed (Month/Day/Year)    |  |  |     |                  |        |                    |   |  | 6. Individual or Joint/Group Filing (Check Applicable Line)  X Form filed by One Reporting Person Form filed by More than One Reporting |  |  |   |  |   |  |
| CLARA  | SANTA CA 95054   |       |       |                    | Rule 10b5-1(c) Transaction Indication                       |  |  |     |                  |        |                    |   |  |   | Pers   | on   |   |  |   |  |
| (City)   | City) (State) (Zip)  |       |       |                    |   | Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10. |  |     |                  |        |                    |   |  |   |  |  |   | ended to   |   |  |
|  |  | Table | I - N | on-Deriva          | tive S  | ecui   | rities   | Ac  | quired           | d, Di  | sposed o           | f, or E   | Benefic                                | ially   | Owr  | ned  |   |  |   |  |
| 1. Title of Security (Instr. 3)  2. Transaction Date (Month/Day/Ye |  |       |       |                    | Execution (ear) if any                                      |  | on Date,   |     |                  |        |                    | s Acquired (A) o<br>f (D) (Instr. 3, 4 a  |  | and 5) Se<br>Be<br>Ov<br>Fo   |  | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following   |   | m: Direct<br>or<br>rect (I)  | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
|  |  |       |       |                    |   |  |  |     | Code             | v      | Amount             | (A) or<br>(D)   | Price                                  |   | Reported<br>Transaction(s)<br>(Instr. 3 and 4) |  |   |  |   |  |
| Common Stock 07/26/202   |  |       |       |                    | 23  |  |  |     | S <sup>(1)</sup> |        | 4,000              | D   | \$268.0                                | .06 <sup>(2)</sup> 5  |  | 56,678   |   | D  |   |  |
|  | Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) |       |       |                    |   |  |  |     |                  |        |                    |   |  |   |  |  |   |  |   |  |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)                | vative Conversion Date Execution Date, irity or Exercise (Month/Day/Year) if any   |       |       | cution Date,<br>ny | 4.<br>Transaction<br>Code (Instr.<br>8)                     |  | 5.<br>Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3, 4<br>and 5) |     |                  |        |                    | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative<br>Security<br>(Instr. 3 and 4) |  | 8. Price of<br>Derivative<br>Security<br>(Instr. 5)   |  | 9. Number<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction<br>(Instr. 4) | y | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | Beneficial<br>Ownership<br>(Instr. 4)                             |  |
|  |  |       |       |                    | Code  | v  | (A)  | (D) | Date<br>Exerc    | isable | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |   |  |  |   |  |   |  |

## Explanation of Responses:

- 1. All transactions reported here were effected pursuant to a Rule 10b5-1 Plan adopted by the reporting person on 2/25/2022, amended on 5/23/2022.
- 2. All reported securities were sold at a price of \$268.06.

## Remarks:

/s/ Wade Estey, as attorney in fact for Isaac Zacharias

07/27/2023

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.