FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

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STATEMENT OF CHA	NGFS IN	N BENEFICIAL	OWNERSHIP

OMB APPROVAL								
OMB Number:	3235-0287							
Estimated average burden								

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OIVID AFFROVAL							
OMB Number:	3235-0287						
Estimated average burden							
hours per response:	0.5						

1. Name and Address of Reporting Person*  PUCKETT DAN															ationship of Reporting k all applicable) Director		ig Pers	10% O	wner
	(Last) (First) (Middle) C/O SHOCKWAVE MEDICAL, INC. 5403 BETSY ROSS DRIVE				3. Date of Earliest Transaction (Month/Day/Year) 12/20/2021								X	Officer (give title Other (speci- below) below)  Chief Financial Officer			specily		
(Street)	CLARA C.	·	95054		4.1	4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Indi Line) X	Form filed by One Reporting Person Form filed by More than One Reporting			on .	
(City)	(S	-	(Zip)												Persoi				
			le I - N			_		s Ac	·	d, Di	isposed o			ially	_		I	1	
1. Title of Security (Instr. 3)		2. Transaction Date (Month/Day/Year)		Execution Date,				4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4			d 5)	5. Amount of Securities Beneficially Owned Following		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership			
							Code	v	Amount	(A) or (D)	Price		Reported Transaction(s) (Instr. 3 and 4)				(Instr. 4)		
Common Stock 12/20		12/20/	2021				M <sup>(1)</sup>		1,500	A	\$	L7	47	,288		D			
Common Stock 12/2		12/20/	2021				S		486	D	\$166	.47 <sup>(2)</sup>	46	,802		D			
Common	Common Stock 1		12/20/	0/2021		-		S		406	D	\$167	\$167.24 <sup>(3)</sup> 46		5,396		D		
Common	Stock			12/20/	2021				S		552	D	\$168.61(4)		45,844			D	
Common	Stock			12/20/	2021				S		750	D	\$169	.46 <sup>(5)</sup>	45	45,094 D			
Common	Stock			12/20/	2021				S		356	D	\$170	.61 <sup>(6)</sup>	44	44,738 D			
Common	Stock			12/20/	2021				S		50	D	\$171	.34 <sup>(7)</sup>	<sup>7)</sup> 44,688 D		D		
Common	Stock			12/20/	2021				S		100	D	\$172	. <mark>83</mark> <sup>(8)</sup>	44	,588	D		
		7	able II								posed of converti				Owned				
Derivative Conversion Date Exe Security or Exercise (Month/Day/Year) if a			if any	emed 4. on Date, Transac Code (II Day/Year) 8)		ction of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Secu (Instr. 3 and 4)		S (I	Derivative Security (Instr. 5)	9. Number derivative Securities Beneficiall Owned Following Reported Transactio (Instr. 4)	s Illy	Ownership Form: Direct (D) or Indirect (I) (Instr. 4	Beneficial Ownership (Instr. 4)		
									Date		Expiration		Amou or Numb						

## **Explanation of Responses:**

\$17

Stock

Option

1. All transactions reported here were effected pursuant to a Rule 10b5-1 Plan adopted by the reporting person on 8/8/2019.

Code

 $M^{(1)}$ 

2. The price reported in Column 4 is a weighted average price. The reported securities were sold in multiple transactions at prices ranging from \$165.93 to \$166.75. The reporting person undertakes to provide to the issuer, any security holder of the issuer or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within such range.

Exercisable

(9)

Date

03/06/2029

Title

Commor

Stock

Shares

1.500

\$0.00

(D)

1,500

(A)

- 3. The price reported in Column 4 is a weighted average price. The reported securities were sold in multiple transactions at prices ranging from \$167.02 to \$167.42. The reporting person undertakes to provide to the issuer, any security holder of the issuer or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within such range.
- 4. The price reported in Column 4 is a weighted average price. The reported securities were sold in multiple transactions at prices ranging from \$168.02 to \$169.00. The reporting person undertakes to provide to the issuer, any security holder of the issuer or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within such range
- 5. The price reported in Column 4 is a weighted average price. The reported securities were sold in multiple transactions at prices ranging from \$169.16 to \$170.00. The reporting person undertakes to provide to the issuer, any security holder of the issuer or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within such range.
- 6. The price reported in Column 4 is a weighted average price. The reported securities were sold in multiple transactions at prices ranging from \$170.25 to \$171.06. The reporting person undertakes to provide to the issuer, any security holder of the issuer or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within such
- 7. The reported securities were sold at a price of \$171.34.
- 8. The price reported in Column 4 is a weighted average price. The reported securities were sold in multiple transactions at prices ranging from \$172.59 to \$173.07. The reporting person undertakes to provide to the issuer, any security holder of the issuer or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within such
- 9. 1/48th of the shares subject to the option shall vest monthly from 3/6/2019.

12/20/2021

## Remarks:

18,747

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.