## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMD	4 DDD () / () I
OMB	APPROVAL

OMB Number: 3235-0287 Estimated average burden 0.5 er response:

> > 7. Nature of Indirect Beneficial Ownership (Instr. 4)

11. Nature of Indirect Beneficial Ownership

(Instr. 4)

Funds<sup>(4)</sup>

Funds<sup>(4)</sup>

# Check this box if no longer subject to Section 16. Form 4 or Form 5

1. Name and Address of Reporting Person\* Venrock Partners VII, L.P.

(First)

(Middle)

(Last)

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

	ons may contir ion 1(b).	ue. See		File								f 1934			hours	per respo	onse:	0.5
Name and Address of Reporting Person*				ed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940  2. Issuer Name and Ticker or Trading Symbol ShockWave Medical, Inc. [SWAV]  5. Relationship of Check all applic										Person	. ,			
(Last) (First) (Middle) C/O SHOCKWAVE MEDICAL, INC. 5403 BETSY ROSS DRIVE				3. Date of Earliest Transaction (Month/Day/Year) 03/11/2019							-	Officer (give title Other (specify below) below)						
(Street) SANTA CLARA CA 95054				4. If Amendment, Date of Original Filed (Month/Day/Year)							6. Indiv	Individual or Joint/Group Filing (Check Applicable Line)     Form filed by One Reporting Person     X Form filed by More than One Reporting Person						
(City) (State) (Zip)																		
			Table I - No	n-Deriv	/ative	Securities	s Ac	quired,	Dis	sposed o	of, or B	enef	icially O	wned				
1. Title of Security (Instr. 3)  2. Transact Date (Month/Date)				Execution Date,		Code (I 8)	Transaction Code (Instr. 8)				4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common	Common Stock			03/11	/2019				V 	1,105,		A) or D) A	Price (1)	1,105,529				By
			03/11				С		1,016,			(1)	2,122,021		1 1		Funds <sup>(4)</sup> By	
Common	J. O. C.		Table II			Securities			lion								•	Funds <sup>(4)</sup>
			rabie ii -			calls, warr								nea				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Yea	Transaction Code (Instr. ar) 8)		Derivative Securities Acquired (A) Disposed of	Derivative		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amou Securities Underly Derivative Securit 3 and 4)		lerlying	8. Price of Derivative Security (Instr. 5)	Securities Beneficially Owned Following		10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Benefici Owners t (Instr. 4)
				Code	v	(A)	(D)	Date Exercisa		Expiration Date	Title	Nui	ount or mber of ares		Reporte Transac (Instr. 4	ction(s)		
Series B Convertible Preferred Stock	(1)	03/11/2019		С		1,105,529 <sup>(2)</sup>		(1)		(1)	Commo Stock		105,529 <sup>(2)</sup>	(1)	C	)	I	By Funds <sup>(4)</sup>
Series C Convertible Preferred Stock	(1)	03/11/2019		С		1,016,492 <sup>(3)</sup>		(1)		(1)	Commo Stock	<sup>on</sup> 1,0	)16,492 <sup>(3)</sup>	(1)	C	)	I	By Funds <sup>(4)</sup>
		Reporting Person* tes VII, L.P.																
	OCKWAVE ISY ROSS	(First) MEDICAL, INC DRIVE	(Middle	·)														
(Street) SANTA CLARA CA 95054																		
(City)		(State)	(Zip)															
		Reporting Person*  are Capital Pa	artners II, <u>I</u>	<u>P.</u>														
	OCKWAVE FSY ROSS	(First) MEDICAL, INC DRIVE	(Middle	)														
(Street)	CLARA	CA	95054															
(City)		(State)	(Zip)															

C/O SHOCKWAVE 5403 BETSY ROSS		
(Street) SANTA CLARA	CA	95054
(City)	(State)	(Zip)
1. Name and Address of VHCP Co-Inves	Reporting Person*	, LLC
(Last) C/O SHOCKWAVE 5403 BETSY ROSS	•	(Middle)
(Street) SANTA CLARA	CA	95054
(City)	(State)	(Zip)
1. Name and Address of Venrock Manage		
(Last) C/O SHOCKWAVE 5403 BETSY ROSS	•	(Middle)
(Street) SANTA CLARA	CA	95054
(City)	(State)	(Zip)
1. Name and Address of Shah Nimish P	f Reporting Person <sup>*</sup>	
(Last) C/O SHOCKWAVE 5403 BETSY ROSS	•	(Middle)
(Street) SANTA CLARA	CA	95054
(City)	(State)	(Zip)
1. Name and Address of Koh Bong Y	f Reporting Person <sup>*</sup>	
(Last) C/O SHOCKWAVE 5403 BETSY ROSS		(Middle)
(Street) SANTA CLARA	CA	95054
(City)	(State)	(Zip)
1. Name and Address of VHCP Managen		
(Last) C/O SHOCKWAVE 5403 BETSY ROSS	•	(Middle)
(Street) SANTA CLARA	CA	95054
(City)	(State)	(Zip)

#### **Explanation of Responses:**

- 1. Each share the convertible preferred stock automatically converted into shares of Common Stock on a one-for-one basis immediately upon the closing of the Issuer's initial public offering without payment or consideration. The shares of the convertible preferred stock had no expiration date.
- 2. Consists of 745,139 shares of convertible preferred stock held by Venrock Associates VII, L.P., 212,500 shares of convertible preferred stock held by Venrock Healthcare Capital Partners II, L.P., 61,725 shares of convertible preferred stock held by Venrock Partners VII, L.P. and 86,165 shares of convertible preferred stock held by VHCP Co-Investment Holdings II, LLC.
- 3. Consists of 382,238 shares of convertible preferred stock held by Venrock Associates VII, L.P., 428,744 shares of convertible preferred stock held by Venrock Healthcare Capital Partners II, L.P., 31,663 shares of convertible preferred stock held by Venrock Partners VII, L.P. and 173,847 shares of convertible preferred stock held by VHCP Co-Investment Holdings II, LLC.
- 4. Venrock Management VII, LLC ("VM7") is the sole general partner of Venrock Associates VII, L.P. ("VA7") and Venrock Partners VII, L.P. ("VP7") and may be deemed to beneficially own these shares and expressly disclaims beneficial ownership over all shares held by VA7 and VP7 except to the extent of its indirect pecuniary interest therein. VHCP Management II, LLC is the general partner of Venrock Healthcare Capital Partners II,

L.P. ("VHCP2") and the manager of VHCP Co-Investment Holdings II, LLC ("VHCP Co-Invest 2"), may be deemed to beneficially own these shares and expressly disclaims beneficial ownership over all shares held by VHCP2 and VHCP Co-Invest 2 except to the extent of its indirect pecuniary interest therein. Bong Koh and Nimish Shah are the managing members of VHCP Management II, LLC. Drs. Koh and Shah expressly disclaim beneficial ownership over all shares held by VHCP2 and VHCP Co-Invest 2 except to the extent of their indirect pecuniary interests therein.

/s/ David L. Stepp, Authorized Signatory	03/13/2019
/s/ David L. Stepp, Authorized Signatory	03/13/2019
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/s/ David L. Stepp, Authorized Signatory	03/13/2019
/s/ David L. Stepp, Authorized Signatory	03/13/2019
/s/ David L. Stepp, Authorized Signatory	03/13/2019
/s/ David L. Stepp, Authorized Signatory	03/13/2019
/s/ David L. Stepp, Authorized Signatory	03/13/2019
** Signature of Reporting Person	Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

<sup>\*</sup> If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

<sup>\*\*</sup> Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).