FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

OMB APPROVAL						
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security		3A. Dee Executi if any	med on Date,	4. Transac Code (II 8)	tion	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		Exerci	sable and	7. Title am Amount o Securities Underlyin Derivative Security (I 3 and 4)		8. De Se (In	Price of rivative curity str. 5)	9. Number derivative Securities Beneficiall Owned Following Reported Transactio (Instr. 4)	y C	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
		Tal					ties Acqui varrants,							Owne	d			
Common Stock 02/01/2				2021			A		17,000	A		\$0	\$0 54,308			D		
						Code	v	Amount (A) (D)		or	Price	Transaction(s) (Instr. 3 and 4)				(Instr. 4)		
Table I - Non-Deriva 1. Title of Security (Instr. 3) 2. Transac Date (Month/Da				tion 2A. Deemed Execution Date,		3. 4. Securiti Transaction Disposed Code (Instr. 5)		ties Acquired (A I Of (D) (Instr. 3,		A) or 5. Amo , 4 and Securi Benefi		unt of ies ially Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership			
(City)	(St		Zip)										<u> </u>					
CLARA	C.A	A 9	5054										"		filed by Mo		Ü	
(Street)				4. If Amendment, Date of Original Filed (Month/Day/Year)							6. Indi Line)	'						
	TSY ROSS	•	C.		02/01	./202	.1											
(Last) (First) (Middle) C/O SHOCKWAVE MEDICAL, INC.				3. Date of Earliest Transaction (Month/Day/Year) 02/01/2021							Chief Financial Officer							
FUCKI	ETTDAI	<u>\</u>											X	Office below	er (give title		10% Ov Other (s below)	
1. Name and Address of Reporting Person* PUCKETT DAN				2. Issuer Name and Ticker or Trading Symbol Shockwave Medical, Inc. [SWAV]								5. Relationship of Reporting Person(s) to Issuer (Check all applicable)						
							· /						_					

Explanation of Responses:

/s/ Wade Estey, as attorney-infact for Dan Puckett

Number

02/08/2021

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

(D)

Date

Exercisable

Expiration Date