SEC Form	4
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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:	3235-0287								
Estimated average burden									
hours per response	. 0.5								

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).	_	I pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940	ERSHIP	OMB Number: Estimated average hours per respon	S
1. Name and Address of Reporting Person [*] Godshall Douglas Evan		2. Issuer Name and Ticker or Trading Symbol <u>Shockwave Medical</u> , Inc. [SWAV]	5. Relationship of (Check all applica X Director	ble)	s) to Issuer 10% Owner
(Last) (First) C/O SHOCKWAVE MEDICAL, IN	(Middle) C.	3. Date of Earliest Transaction (Month/Day/Year) 02/15/2024			Other (specify below)
5403 BETSY ROSS DRIVE		4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joi Line) X Form file	nt/Group Filing (Cl d by One Reportin	
(Street) SANTA CLARA CA	95054		Form file Person	d by More than Or	e Reporting
(City) (State)	(Zip)	Rule 10b5-1(c) Transaction Indication			
		\overline{X} Check this box to indicate that a transaction was made pursuant to a satisfy the affirmative defense conditions of Rule 10b5-1(c). See Inst	a contract, instruction struction 10.	or written plan that is	s intended to

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)					5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)	
Common Stock	02/15/2024		M ⁽¹⁾		10,000	Α	\$3.416	172,762	D		
Common Stock	02/15/2024		S ⁽¹⁾		560	D	\$228.28 ⁽²⁾	172,202	D		
Common Stock	02/15/2024		S ⁽¹⁾		2,230	D	\$229.82(3)	169,972	D		
Common Stock	02/15/2024		S ⁽¹⁾		2,800	D	\$230.86 ⁽⁴⁾	167,172	D		
Common Stock	02/15/2024		S ⁽¹⁾		1,987	D	\$231.74 ⁽⁵⁾	165,185	D		
Common Stock	02/15/2024		S ⁽¹⁾		792	D	\$232.74(6)	164,393	D		
Common Stock	02/15/2024		S ⁽¹⁾		200	D	\$233.54(7)	164,193	D		
Common Stock	02/15/2024		S ⁽¹⁾		600	D	\$235.21(8)	163,593	D		
Common Stock	02/15/2024		S ⁽¹⁾		831	D	\$236.13(9)	162,762	D		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		Derivative Security urity (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Stock Option	\$3.416	02/15/2024		M ⁽¹⁾			10,000	(10)	05/09/2027	Common Stock	10,000	\$ <mark>0</mark>	294,799	D	

Explanation of Responses:

1. All transactions reported here were effected pursuant to a Rule 10b5-1 Plan adopted by the reporting person on 05/25/2023.

2. The price reported in Column 4 is a weighted average price. The reported securities were sold in multiple transactions at prices ranging from \$227.95 to \$228.82. The reporting person undertakes to provide to the issuer, any security holder of the issuer or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within such range 3. The price reported in Column 4 is a weighted average price. The reported securities were sold in multiple transactions at prices ranging from \$229.12 to \$230.08. The reporting person undertakes to provide to the issuer, any security holder of the issuer or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within such range 4. The price reported in Column 4 is a weighted average price. The reported securities were sold in multiple transactions at prices ranging from \$230.32 to \$231.24. The reporting person undertakes to provide to the issuer, any security holder of the issuer or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within such range 5. The price reported in Column 4 is a weighted average price. The reported securities were sold in multiple transactions at prices ranging from \$231.35 to \$232.18. The reporting person undertakes to provide to the issuer, any security holder of the issuer or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within such range 6. The price reported in Column 4 is a weighted average price. The reported securities were sold in multiple transactions at prices ranging from \$232.37 to \$233.25. The reporting person undertakes to provide to the issuer, any security holder of the issuer or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within such range 7. All reported securities were sold at a price of \$233.54.

8. The price reported in Column 4 is a weighted average price. The reported securities were sold in multiple transactions at prices ranging from \$234.91 to \$235.53. The reporting person undertakes to provide to the issuer, any security holder of the issuer or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within such range. 9. The price reported in Column 4 is a weighted average price. The reported securities were sold in multiple transactions at prices ranging from \$235.99 to \$236.51. The reporting person undertakes to provide to the issuer, any security holder of the issuer or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within such range 10. Fully vested

Remarks:



Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.