

Shockwave Medical, Inc. Responsible Minerals Sourcing Policy

Shockwave Medical, Inc. (“Shockwave”) recognizes that the extraction, trade, handling and export of cassiterite, columbite-tantalite (coltan), wolframite, gold and cobalt, and the derivatives tin, tantalum and tungsten, have contributed to armed conflict and human rights abuses in the Democratic Republic of the Congo (the “DRC”) and adjoining countries.

Shockwave supports responsible sourcing of tin, tantalum, tungsten, gold and cobalt from the DRC and adjoining countries in accordance with the Organisation for Economic Co-operation and Development’s Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas and the supplements thereto (the “OECD Guidance”) and the OECD Guidance’s model supply chain policy.

Shockwave requires that each supplier (i) adopt a responsible minerals sourcing policy and exercise due diligence on the source and chain of custody of the tin, tantalum, tungsten, gold and cobalt in the products it manufactures to reasonably assure that they are sourced in a manner consistent with the OECD Guidance or an equivalent and recognized due diligence framework and (ii) comply with the provisions of any contract between Shockwave and such supplier. Each supplier is required to cooperate with Shockwave in providing such reports, documentation and/or written assurances and taking such actions (including corrective measures) relating to responsible sourcing as requested by Shockwave or as required by the provisions of any contract between Shockwave and such supplier.

If a supplier does not meet Shockwave’s expectations, Shockwave will engage with that supplier as appropriate. Shockwave will continue to monitor global developments and the emergence of additional requirements or standards related to the sourcing of minerals from the DRC and adjoining countries as well as from other regions.