

| OMB APPROVAL                                 |           |
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**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP**

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

|  |  |   |
|--|--|---|
| 1. Name and Address of Reporting Person*<br><b>PUCKETT DAN</b><br><br>(Last) (First) (Middle)<br><b>C/O SHOCKWAVE MEDICAL, INC.</b><br><b>5403 BETSY ROSS DRIVE</b><br><br>(Street)<br><b>SANTA CLARA CA 95054</b><br><br>(City) (State) (Zip) | 2. Issuer Name and Ticker or Trading Symbol<br><b>Shockwave Medical, Inc. [ SWAV ]</b> | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable)<br><br>Director 10% Owner<br><input checked="" type="checkbox"/> Officer (give title below) Other (specify below)<br><b>Chief Financial Officer</b> |
|  | 3. Date of Earliest Transaction (Month/Day/Year)<br><b>02/22/2022</b>                  |   |
|  | 4. If Amendment, Date of Original Filed (Month/Day/Year)                               | 6. Individual or Joint/Group Filing (Check Applicable Line)<br><br><input checked="" type="checkbox"/> Form filed by One Reporting Person<br>Form filed by More than One Reporting Person                                   |

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) |   | 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) |            |          | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|------------|----------|---|--|---|
|                                 |                                      |  | Code                           | V | Amount  | (A) or (D) | Price    |   |  |   |
| Common Stock                    | 02/22/2022                           |  | M                              |   | 254   | A          | \$4.03   | 51,661  | D  |   |
| Common Stock                    | 02/22/2022                           |  | M                              |   | 3,567   | A          | \$17     | 55,228  | D  |   |
| Common Stock                    | 02/22/2022                           |  | S                              |   | 3,821   | D          | \$140.43 | 51,407  | D  |   |
| Common Stock                    | 02/22/2022                           |  | F                              |   | 3,907   | D          | \$140.57 | 47,500  | D  |   |
| Common Stock                    | 02/22/2022                           |  | S                              |   | 2,800   | D          | \$150.55 | 44,700  | D  |   |
| Common Stock                    | 02/23/2022                           |  | S                              |   | 2,762   | D          | \$158.76 | 41,938  | D  |   |

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) |   | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |       | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                 | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |       |
|--|--|--------------------------------------|--|--------------------------------|---|--|-------|--|-----------------|---|--|--|---|--|-------|
|  |  |                                      |  | Code                           | V | (A)  | (D)   | Date Exercisable   | Expiration Date |   |  |  |   |  | Title |
| Stock Option                               | \$4.026  | 02/22/2022                           |  | M                              |   |  | 254   | (1)  | 07/19/2028      | Common Stock  | 254  | \$0.00   | 1,255   | D  |       |
| Stock Option                               | \$17   | 02/22/2022                           |  | M                              |   |  | 3,567 | (2)  | 03/06/2029      | Common Stock  | 3,567                                      | \$0.00   | 14,430  | D  |       |

**Explanation of Responses:**

- 1/48th of the stock options vest monthly beginning on 8/19/2018, subject to the continued service to the Issuer by the Reporting Person on each vesting date.
- 1/48th of the stock options vest monthly beginning on 4/16/2019, subject to the continued service to the Issuer by the Reporting Person on each vesting date.

**Remarks:**

/s/ Wade Estey, as attorney-in-fact for Dan Puckett 02/24/2022

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.