Instruction 1(b).

FORM 4

Check this box if no longer subject

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

| Washington, [ | D.C. 20549 |
|---------------|------------|
|---------------|------------|

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| OMB APPROVAL             |        |  |  |  |  |  |  |  |  |
|--------------------------|--------|--|--|--|--|--|--|--|--|
| OMB Number: 3235-0287    |        |  |  |  |  |  |  |  |  |
| Estimated average burden |        |  |  |  |  |  |  |  |  |
| hours per response       | e: 0.5 |  |  |  |  |  |  |  |  |

to Section 16. Form 4 or Form 5 obligations may continue. See Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| Name and Address of Reporting Person*     PUCKETT DAN          |  |                    |         |          | 2. Issuer Name and Ticker or Trading Symbol Shockwave Medical, Inc. [ SWAV ] |  |   |                            |   |        |                    |              |  | k all applicable)  Director  Officer (give title |   | g Person(s) to Issuer  10% Owner Other (specify   |                                      | vner   |                                       |
|--|--|--------------------|---------|----------|--|--|---|----------------------------|---|--------|--------------------|--------------|--|--|---|---|--------------------------------------|--|---------------------------------------|
| (Last) C/O SHO   | (Fir   | rst) (MEDICAL, INC | Middle) |          | 3. Date of Earliest Transaction (Month/Day/Year) 02/01/2022                  |  |   |                            |   |        |                    |              | below) below)  Chief Financial Officer           |  |   |   |                                      |  |                                       |
| 5403 BETSY ROSS DRIVE  |  |                    |         |          |  |  |   |                            |   |        |                    |              |  |  |   |   |                                      |  |                                       |
| (Street)<br>SANTA<br>CLARA                                     | CA   | A 9                | 5054    |          | 4. If <i>i</i>   | 4. If Amendment, Date of Original Filed (Month/Day/Year) |   |                            |   |        |                    |              | 6. Indi<br>Line)<br>X                            | Form<br>Form                                     | ual or Joint/Group Filing (Check Applicable Form filed by One Reporting Person Form filed by More than One Reporting Person |   |                                      |  |                                       |
| (City)   | (St  | ate) (ž            | Zip)    |          |  |  |   |                            |   |        |                    |              |  |  |   |   |                                      |  |                                       |
|  |  | Table              | I - No  | n-Deriva | tive S   | Secu   | rities  | Acc                        | uired   | , Dis  | posed of           | , or E       | Benef  | icially  | / Own   | ed  |                                      |  |                                       |
| 1. Title of Security (Instr. 3)  2. Transacti Date (Month/Day) |  |                    |         |          | Execution Date,  |  |   | Date,                      | 3. 4. Securities Acquired ( Transaction Code (Instr. 8)  4. Securities Acquired ( Disposed Of (D) (Instr. 3) 5) |        |                    |              | 4 and Secur<br>Benef                             |  | rities<br>ficially<br>d Following (   |   | m: Direct<br>or Indirect<br>nstr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4)        |                                       |
|  |  |                    |         |          |  |  |   |                            | Code  | v      | Amount             | (A) o<br>(D) | Pri  | е  | Transa  | ction(s)<br>3 and 4)  |                                      |  | (111501.4)                            |
| Common Stock 02/01/20  |  |                    |         |          | 022  |  | F   |                            | 1,471   | D      | \$1                | 55.03        | 43   | 3,117  |   | D   |                                      |  |                                       |
| Common Stock 02/01/20  |  |                    |         |          | 022  |  | A   |                            | 8,279   | A      | \$                 | \$0.00       |  | 51,396   |   | D   |                                      |  |                                       |
|  | Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) |                    |         |          |  |  |   |                            |   |        |                    |              |  |  |   |   |                                      |  |                                       |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)            | erivative Conversion Date Execution Date, ecurity or Exercise (Month/Day/Year) if any  |                    |         |          | 4.<br>Transaction<br>Code (Instr.<br>8)                                      |  | of<br>Deriv<br>Secu<br>Acqu<br>(A) o<br>Dispe | or<br>osed<br>)<br>r. 3, 4 | 6. Date<br>Expirat<br>(Month  | tion D |                    |              | int of<br>rities<br>rlying<br>ative<br>rity (Ins | De<br>Se<br>(In:                                 | Price of<br>erivative<br>ecurity<br>1str. 5)  | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4) | y                                    | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | Beneficial<br>Ownership<br>(Instr. 4) |
|  |  |                    |         |          | Code   | v  | (A)   | (D)                        | Date<br>Exercis   | sable  | Expiration<br>Date | Title        | Amou<br>or<br>Numb<br>of<br>Share                | er   |   |   |                                      |  |                                       |

**Explanation of Responses:** 

Remarks:

/s/ Wade Estey, as attorney-in-

fact for Dan Puckett

02/03/2022

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.