FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

| Washington, | D.C. | 20549 |  |
|-------------|------|-------|--|
|-------------|------|-------|--|

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| OMB APPROVAL             |     |  |  |  |  |  |  |  |
|--------------------------|-----|--|--|--|--|--|--|--|
| OMB Number: 3235-0287    |     |  |  |  |  |  |  |  |
| Estimated average burden |     |  |  |  |  |  |  |  |
| hours per response:      | 0.5 |  |  |  |  |  |  |  |

|  | tion 1(b).  | ide. See                                   |                                       | Filed   |   |      |   |     |                 |         | ities Exchang<br>ompany Act o                             |   | of 1934   |  | nours                                 | per re  | esponse:   | 0.5                                     |  |
|--|---|--|---------------------------------------|---|---|------|---|-----|-----------------|---------|---|---|---|--|---------------------------------------|---|--|---|--|
| 1. Name and Address of Reporting Person* PUCKETT DAN |   |  |                                       | 2. Issuer Name <b>and</b> Ticker or Trading Symbol Shockwave Medical, Inc. [ SWAV ] |   |      |   |     |                 |         |   | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  Director 10% Owner |   |  |                                       |   |  |   |  |
| (Last)   | (Last) (First) (Middle) C/O SHOCKWAVE MEDICAL, INC.                   |  |                                       |   | 3. Date of Earliest Transaction (Month/Day/Year) 11/02/2023   |      |   |     |                 |         |   |   | ^ belo  | Officer (give title below)  Chief Finan  |                                       | Other (speci<br>below)<br>cial Officer              |  |   |  |
| 5403 BETSY ROSS DRIVE                                |   |  |                                       | 4. If Amendment, Date of Original Filed (Month/Day/Year)                            |   |      |   |     |                 |         | 6. Individual or Joint/Group Filing (Check Applical Line) |   |   |  |                                       |   |  |   |  |
| (Street) SANTA CLARA CA 95054                        |   |  |                                       |   |   |      |   |     |                 |         |   |   | For   | X Form filed by One Reporting Person  Form filed by More than One Reporting Person |                                       |   |  |   |  |
| (City)   | (St   | ate) (2                                    | Zip)                                  |   | Rule 10b5-1(c) Transaction Indication  Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10. |      |   |     |                 |         |   |   |   |  |                                       |   |  |   |  |
|  |   | Table                                      | I - N                                 | on-Deriva   | tive S  | Secu | rities  | Ac  | quire           | d, Dis  | sposed of   | , or E  | Benefici  | ally Ow  | ned                                   |   |  |   |  |
| Date   |   |  | 2. Transaction<br>Date<br>(Month/Day/ | Execution Date  |   | ´    | 3.<br>Transa<br>Code (<br>8)  |     |                 |         |   | 5) Secu<br>Bene<br>Own  | Securities<br>Beneficially                          |  | m: Direct<br>or Indirect<br>Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership |  |   |  |
|  |   |  |                                       |   |   |      |   |     | Code            | v       | Amount  | (A) or<br>(D)   | Price   | Tran   | ansaction(s)<br>str. 3 and 4)         |   |  | (Instr. 4)                              |  |
| Common   | Stock   |  |                                       | 11/02/20  | 23  |      |   |     | F               |         | 204   | D   | \$214.0   | .4.07 <sup>(1)</sup> 28,984 D  |                                       |   | 07 <sup>(1)</sup> 28,984 D   |   |  |
|  |   | Tal  | ble II                                | - Derivati<br>(e.g., pu   |   |      |   |     |                 |         | osed of,<br>convertib                                     |   |   |  | ed                                    |   |  |   |  |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)  | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | Exec<br>if any                        | Deemed<br>Lution Date,<br>y<br>hth/Day/Year)  | 4.<br>Transaction<br>Code (Instr.<br>8)   |      | 5. Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3, 4<br>and 5) |     | Expiration Date |         |   | 7. Titl<br>Amou<br>Secur<br>Unde<br>Deriv<br>Secur<br>3 and                                 | int of<br>rities<br>rlying<br>ative<br>rity (Instr. | 8. Price o<br>Derivative<br>Security<br>(Instr. 5)                                 |                                       | у   | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | Beneficial<br>Ownership<br>t (Instr. 4) |  |
|  |   |  |                                       |   | Code  | v    | (A)   | (D) | Date<br>Exer    | cisable | Expiration<br>Date  | Title   | Amount<br>or<br>Number<br>of<br>Shares              |  |                                       |   |  |   |  |

## **Explanation of Responses:**

1. All reported securities were sold at a price of \$214.07.

## Remarks:

/s/ Wade Estey, as attorney-infact for Dan Puckett

11/15/2023

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.