

Shockwave Medical, Inc.

California Transparency in Supply Chains Act of 2010¹

Shockwave Medical, Inc. and its subsidiaries (collectively, “**Shockwave Medical**” or “**we**”) operate with high ethical business standards and integrity in all facets of our business and in all the communities where we do business. To preserve the trust placed in us, we count on our global network of suppliers, distributors, and business partners to commit to and uphold the same high ethical standards and integrity. We are committed to ensuring that, through the way we operate as a business and the relationships we develop, no form of modern slavery or human trafficking occurs anywhere in our business or supply chain.

Shockwave Medical’s Code of Business Conduct and Ethics

Our Code of Business Conduct and Ethics (“**Code of Conduct**”) outlines our expectations for business conduct and practices, including provisions on conducting business with high ethical and legal standards and our commitment to upholding fundamental human rights. We do not use or condone slave labor or human trafficking; we denounce the degrading treatment of individuals or unsafe working conditions; and we support our products being free of conflict minerals.

Supplier Assessments and Qualification

Before engaging a supplier, we evaluate the supplier through an assessment, which may include supplier questionnaires and audits of supplier facilities. We communicate our expectations for compliance with ethical and quality standards to all potential suppliers. We also request that suppliers certify whether conflict minerals are used in the components supplied to us and, if so, where such conflict minerals are sourced.

Supplier Audits

Although we do not currently audit supplier compliance with our standards for human trafficking and slavery, we regularly audit suppliers who impact our quality system to verify compliance with our supplier performance and quality standards. Audits are performed by Shockwave Medical directly.

Supplier Agreements

We have supply agreements, quality agreements and/or purchase order terms and conditions with our suppliers. These contracts include provisions regarding compliance with all laws and regulations applicable to the supply of the product or service. We require compliance with applicable laws and regulations, and we reserve the right to terminate any supplier that does not comply with our agreements or applicable laws and regulations.

¹ The California Transparency in Supply Chains Act of 2010 is intended to provide public information from manufacturers and retailers regarding their efforts to prevent human trafficking and slavery, which will allow businesses and consumers to make more informed decisions regarding the products they choose to purchase and the companies with whom they choose to conduct business.

Employee Training

Although we do not provide our employees with specific training in slavery and human trafficking, all of our employees, including those responsible for supply chain management, must comply with our Code of Conduct. All employees participate in annual training on the Code of Conduct to ensure understanding and compliance with its requirements.

Internal Accountability

Our employees have a duty to report any known or suspected violations of our Code of Conduct or any laws, rules, or regulations applicable to us. Our Ethics Hotline, a telephone and web resource, is available to report or seek guidance on issues. Our Ethics Hotline permits anyone making a report to remain anonymous and is available 24 hours a day, seven days a week, at (844) 783-5397 or at www.shockwavemedical.ethicspoint.com.

To promote compliance with our Code of Conduct, we maintain a compliance program that investigates potential violations of the Code of Conduct and takes disciplinary action when necessary. All allegations of violations of our Code of Conduct are investigated, and appropriate responsive action is taken as required. If any employee is found to be non-compliant in these areas, prompt corrective action must be taken by the employee. We reserve the right to terminate any employee that does not comply with our Code of Conduct.